

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Vasudevan, et al.

Group Art Unit: *Unassigned*

Serial No.: *Unassigned*

Filed: 12/08/2003

Examiner: *Unassigned*

Title: **“TRANSACTION TRANSFER DURING A §
FAILOVER OF A CLUSTER CONTROLLER§
OR SERVER §**

Atty. Docket No.: 016295.1510

CERTIFICATE OF MAILING VIA EXPRESS MAIL
37 C.F.R. 1.10

I HEREBY CERTIFY THAT I HAVE INFORMATION AND A REASONABLE BASIS FOR BELIEF THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS EXPRESS MAIL POST OFFICE TO ADDRESSEE ON THE DATE INDICATED BELOW AND IS ADDRESSED TO: MAIL STOP PATENT APPLICATION

MAIL STOP PATENT APPLICATION
HONORABLE COMMISSIONER FOR PATENTS
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MAIL STOP PATENT APPLICATION
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Page No. 3

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DATE OF MAILING: 12/08/2003
EXPRESS MAIL LABEL: EV339226079US

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants respectfully request, pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, that the art listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified divisional patent application.

As this patent application is being filed after June 30, 2003, and in accordance with the U.S. Patent Office waiver of the requirement under 37 C.F.R. 1.98(a)(2)(i), copies of the five (5) cited U.S. patents are not enclosed.

Furthermore, pursuant to 37 C.F.R. §§1.97(g) and (h), no representation is made that these references are material to the patentability of the present application.

As this Information Disclosure Statement is being filed concurrently with the filing of this patent application, Applicants believe that no fee is required. However, should the Commissioner deem that any fee is due, Applicants respectfully request that the Commissioner accept this as a Petition Therefor, and further authorize the Commissioner to debit any fee which is due from Baker Botts L.L.P. Deposit Account No. 02-0383, Order No. 016295.1510.

Respectfully submitted,

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Date: December 8, 2003

PTO-1449		Application No. Unassigned		Applicant(s): VASUDEVAN, ET.AL.			
				Docket Number 016295.1510		Group Art Unit Unassigned	Filing Date 12/08/2003
Information Disclosure Citation in an Application		U.S. PATENT DOCUMENTS					
		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	6,609,213	08.19.2003	NGUYEN, ET AL.	714	4	08.10.2000
	B	6,484,276	11.19.2002	SINGH, ET AL.	714	41	10.25.1999
	C	6,438,705	08.20.2002	CHAO, ET AL.	714	4	01.29.1999
	D	6,393,485	05.21.2002	CHAO, ET AL.	709	231	10.27.1998
	E	6,360,331	03.19.2002	VERT, ET AL.	714	4	04.17.1998
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
NON-PATENT DOCUMENTS							
		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)					DATE
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.							